

2673

U.S. PATENT & TRADEMARK OFFICE  
MAR 25 2003

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	09/709,433
	Filing Date	Nov 13, 2000
	First Named Inventor	Stewart et al.
	Group Art Unit	2673
	Examiner Name	Herndon, H.
Total Number of Pages in This Submission	Attorney Docket Number	424992000300

**RECEIVED**  
MAR 27 2003  
Technology Center 2600

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement in 2 pgs. <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input checked="" type="checkbox"/> CD, Number of CD(s) <u>1</u>	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copies of 18 references (17 paper, 1 on CD) Transmittal of Information Disclosure Statement in 2 pgs. Statement Regarding Missing Reference Acknowledgment Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	John W. LaBatt - Reg. No. 48,301 Hoffman, Warnick & D'Alessandro LLC
Signature	<i>John W. LaBatt</i>
Date	March 21, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: <u>March 21, 2003</u>			
Typed or printed name	Wendy E. Thompson		
Signature	<i>Wendy E. Thompson</i>	Date	March 21, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
424992000300

In Re Application Of: Jeff Stewart et al.

Serial No.  
09/709,433

Filing Date  
November 13, 2000

Examiner  
Herndon, H.

Group Art Unit  
2673

Title: **SYSTEM, METHOD AND RECORDABLE MEDIUM FOR PRINTING SERVICES  
OVER A NETWORK AND GRAPHICAL USER INTERFACE**

**RECEIVED**

MAR 27 2003

Technology Center 2600

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
424992000300

In Re Application: Jeff Stewart et al.

Serial No.

09/709,433

Filing Date

November 13, 2000

Examiner

Herndon, H.

Group Art Unit

2673

**SYSTEM, METHOD AND RECORDABLE MEDIUM FOR PRINTING SERVICES  
OVER A NETWORK AND GRAPHICAL USER INTERFACE**

**RECEIVED**

MAR 27 2003

Technology Center 2600

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 500999 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited  
March 21, 2003 with the U.S. Postal Service  
as first class mail under 37 C.F.R. 1.8 and is  
addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231.

*Wendy E. Thompson*  
\_\_\_\_\_  
Signature of Person Mailing Correspondence

Wendy E. Thompson

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

Dated: March 21, 2003

*Ronald A. D'Alessandro*  
\_\_\_\_\_  
Signature

Ronald A. D'Alessandro

Reg. N . 42,456

H fman, Warnick & D'Alessandro LLC

Three E-Comm Square

Albany, New York 12207

(518) 449-0044

CC:



# 7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant:** Stewart et al.

**Art Unit:** 2673

**Serial No.:** 09/709,433

**Docket No.:** 424992000300

**Filing Date:** 11/13/2000

**Examiner:** Herndon, H.

**Title:** SYSTEM, METHOD AND RECORDABLE MEDIUM FOR PRINTING  
SERVICES OVER A NETWORK AND GRAPHICAL USER INTERFACE

Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**

MAR 27 2003

Technology Center 2600

Sir:

This paper is being filed in conjunction with an Information Disclosure Statement filed  
for the above-referenced application.

**STATEMENT REGARDING MISSING REFERENCE**

This Information Disclosure Citation is being submitted to disclose various references  
that were cited and/or submitted on Applicants' behalf for one or more applications related to the  
above-referenced application. Since these references were submitted and/or cited, Applicants  
have changed their representative regarding these applications. Unfortunately, after a good faith  
effort, neither Applicants nor Applicants' representative have been able to locate a copy of the  
reference entitled "Xerox Year 2000 Product Compliance Status Product Details." As a result, a  
copy of this reference is not enclosed with this submission.

Applicants respectfully request that the Examiner obtain a copy of the reference that is  
included in the file for U.S. Patent Application No. 09/709,393 filed 11/13/2000 and entitled

"System, Method, and Recordable Medium for Printing Services over a Network." The file is believed to be located in Art Unit 2155, with Examiner Frantz B. Jean. Further, Applicants respectfully request that a copy of this reference be forwarded to Applicants' representative along with the next correspondence regarding the above-referenced application. Applicants thank the Examiner in advance for his cooperation regarding this matter.

Applicants respectfully submit that all claims are in condition for allowance. Should the Examiner require anything further to place the application in better condition for allowance, the Examiner is invited to contact Applicants' undersigned representative at the number listed below.

Respectfully submitted,



Ronald A. D'Alessandro  
Reg. No.: 42,456

Date: 3/21/03  
Hoffman, Warnick & D'Alessandro LLC  
Three E-Comm Square  
Albany, New York 12207  
(518) 449-0044  
(518) 449-0047 (fax)